

Attorney's Docket No.: US 1241/00

## DECLARATION AND POWER OF ATTORNEY - ORIGINAL APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below beneath my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which Letters Patent is sought on the invention entitled

## NETWORK BASED SYSTEM FOR REAL-TIME TRADING OF PHYSICAL COMMODITIES

the enecification of which	is attached hereto
the specification of which (check one)	X was filed on <u>Dec.28, 2000</u> as
	Application S.N. <u>09/749,605</u>
	and was amended on

I hereby state that I have reviewed and understand the contents of the aboveidentified specification, including the claims, as amended by an amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or Inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign A	application(s)		Priority Claimed
(Number)	(Country)	(Day/Month/Year Filed)	YES NO
(Number)	(Country)	(Day/Month/Year Filed)	YES NO
I hereby claim t application(s) li		35 U.S.C. § 119(e) of any Unit	ed States provisiona
(Application Nu	ımber)	(Filing Date)	
(Application Nu	ımber)	(Filing Date)	
or § 365(c) of a below and, inso not disclosed i manner provide to disclose info 1.56 which bec	ny PCT Internation of ar as the subject in the prior United by the first parametrion which is ame available be	35 U.S.C. § 120 of any United anal application designating the ct matter of each of the claims ed States or PCT Internation agraph of 35 U.S.C. § 112, I a material to patentability as detween the filing date of the priong date of this application.	e United States, listed of this application is al application in the acknowledge the duty efined in 37 C.F.R. §
(Application Se	er. No.)	(Filing Date)	(Status)
(patente	d,pending,aband	loned)	
(Application Se	er. No.)	(Filing Date)	(Status)
(natente	d nending abanc	loned)	

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:



SEND CORRESPONDENCE TO:

Law Office - Dinesh Agarwal, P.C. 5350 Shawnee Road, Suite 330 Alexandria, VA 22312

## DIRECT TELEPHONE CALLS TO Dinesh Agarwal (703) 642-9400

The undersigned hereby authorizes the U.S. attorney(s) or agent(s) named herein to accept and follow instructions from <u>Ridout & Maybee</u> as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorney(s) or agent(s) and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney(s) or agent(s) named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that the statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole or first inventor: DONALD G. LeBLANC
Inventor's signature $\times$ Date $\times \frac{\partial \mathcal{D}}{\partial \mathcal{D}} / \frac{\partial \mathcal{D}}{\partial $
Residence 1225 Lincoln Road, Fredericton, New Brunswick, Canada E3B 8J3
Citizenship Canadian
Post Office Address SAME AS ABOVE
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OIPE			
1 ** **	Serial or Patent No.:	Donald G. LeBlanc  Appln No. 09//49,605  Filed December 28, 2000  NETWORK PASED SYSTEM FOR	DOCKET NO.
te	/.Title:	TRADING OF PHYSICAL COMMO	ODITES
MADEMI	Title:	TRAINING OF PHYSICAL COMM	JD111 <u>44</u>
	VERIFIED STATEMENT (DEC (37 CFR 1.9(f)	CLARATION) CLAIMING SMALL E & 1.27(c)) SMALL BUSINESS	NTITY STATUS -
	I hereby declare that		
		small business concern iden	
		e small business concern emp	owered to aca. On
		ncern identified below:	g Ind.
		CONCERN <u>McNutt Holding</u> ESS CONCERN <u>364</u> York <u>Stree</u>	
	ADDRESS OF SMALL BOSIN	Suite 250,	
		FREDERICTON, N	
	concern qualifies as a 121.12, and reproduced reduced fees to the Unthat the number of emplaffiliates, does not statement (1) the number of emplayed on the average over the persons employed on during each of the pay are affiliates of each one concern controls of third party or parties	small business concern as of in 37 CFR 1.9(d), for putited States Patent and Tradloyees of the concern, includenced 500 persons. For poer of employees of the business full-time, part-time or periods of the fiscal year, a other when either, directlor has the power to controls or has the power	d small business lefined in 13 CFR rposes of paying emark Office, in ding those of its burposes of this iness concern is concern of the temporary basis and (2) concerns y or indirectly, the other, or a to control both.
	conveyed to and remain above with regard to the FOR REAL-TIME TRADING inventor(s) DONALD G. described in		WORK BASED SYSTEM
	the specification	on filed herewith	lanambar 28. 2000
	<u>x</u> application seri	ial no. <u>09/749.605</u> filed l	ACCELIMET SOI SOON
	patent no	ispued	

If the rights hold by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are hold by any person, other than the inventor(s), who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

NAME ADDRESS									
( ) INDIVIDUAL	()	SMALL	BUSINESS	CONCERN	(	)	NONPROFIT	ORGANIZATION	:
NAME									
ADDRESS	( )	amall	BUSINESS	CONCERN	(	)	NONPROFIT	ORGANIZATION	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1:28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	DONALD	LEBLANE	
TITLE OF PERSON IF OTHER TO ADDRESS OF PERSON SIGNING	HAN OWNER	color north	•
ADDRESS OF PERSON SIGNING	Frederict	New Brun	surel
1	PA E3B B	13	
	<u></u>		
SIGNATURE	DATE	79-03-2001	

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TRADEMATI		ADING OF PRISICAL CORM	<u> </u>
	VERIFIED STATEMENT (DECLARA (37 CFR 1.9(f) & 1.	ATION) CLAIMING SMALL E 27(c)) SMALL BUSINESS	ntity status - ; concrrn
•	I hereby declare that I am		
		l business concern iden	
		all business concern emp	powered to act on
	behalf of the concern		7
	NAME OF SMALL BUSINESS CONC	BRN McNutt Lumber	Company Ltd.
	ADDRESS OF SMALL BUSINESS (		
		FREDERICTON, N	.B.
		<u> </u>	<u> </u>
	concern qualifies as a smal 121.12, and reproduced in reduced fees to the United that the number of employee affiliates, does not excesstatement (1) the number of the average over the pravipersons employed on a fuduring each of the pay perione concern controls or handled party or parties controls controls or handled the same affiliates.	States Patent and Trades of the concern, included 500 persons. For patent and from the few parts of the business of the business of the parts of the fiscal year of the fiscal year, are when either, directly as the power to control at the power to control at the power	rposes of paying lemark Office, in ding those of its purposes of this liness concern is e concern of the temporary basis and (2) concerns by or indirectly, the other, or a to control both.
	I hereby declare that conveyed to and remain wit above with regard to the in FOR REAL-TIME TRADING OF Finventor(s) DONALD G. LEBI described in	PHYSICAL COMMODITIES	つけにらさけ エグシニン・ティテク

the spacification filed herewith

x application serial no. 09/749.605 filed December 28, 2000

patent no. \_\_\_\_\_ issued \_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor(S), who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27).

Name Address										
( ) INDIVIDUAL	(	)	SMALL	Business	CONCERN	(	)	Nonprofit	organization	
NAME						_				
ADDRESS [ ( ) INDIVIDUAL	(	<u>,                                    </u>	SMALL	BUSINESS	CONCERN	(	)	NONPROPTT	ORGANIZATION	

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by time or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING	DONALD FERLANC
	OWNER
ADDRESS OF PERSON SIGNING	When too New Brussian
	A, E3B 813
	- DAI'N 29-03-2881
EICNATURE	DATE 2/-03-208/